



THE SANCTIONS REGIME OF UN SECURITY COUNCIL RESOLUTIONS 1718 (2006), 1874 (2009) AND 2087 (2013)

Katsuhisa Furukawa,
Member
Panel of Experts established pursuant
to resolution 1874 (2009)
Email: furukawa@un.org



**TIMELINE OF SELECTED
UN SECURITY COUNCIL
RESOLUTIONS (UN SCR)**

Timeline of selected UNSCR (1)

July 2006

DPRK launches a ballistic missile

UN SCR 1695

- » Demanded the suspension of all ballistic missile-related activities
- » Requires all member states to prevent trade with DPRK in missile technology

Oct. 2006

DPRK conducts its first nuclear test

UN SCR 1718

- » Demanded the suspension of all ballistic missile-related activities
- » Imposed a sanctions regime on DPRK
- » Established a Sanctions Committee to oversee the implementation of the sanctions

Timeline of selected UNSCR (2)

May 2009

DPRK conducts its second nuclear test

June 2009

UN SCR 1874

- » Strengthened sanctions regime, including broader financial sanctions
- » Created a Panel of Experts

April 2012

DPRK satellite launch

UN SC Presidential Statement 2012/13

- » Strongly condemned the satellite launch by DPRK
- » Demanded that DPRK stop all nuclear tests and ballistic missile activity
- » Directed the Sanctions committee to designate additional entities and items to be subjected to sanctions.

Timeline of selected UNSCR (3)

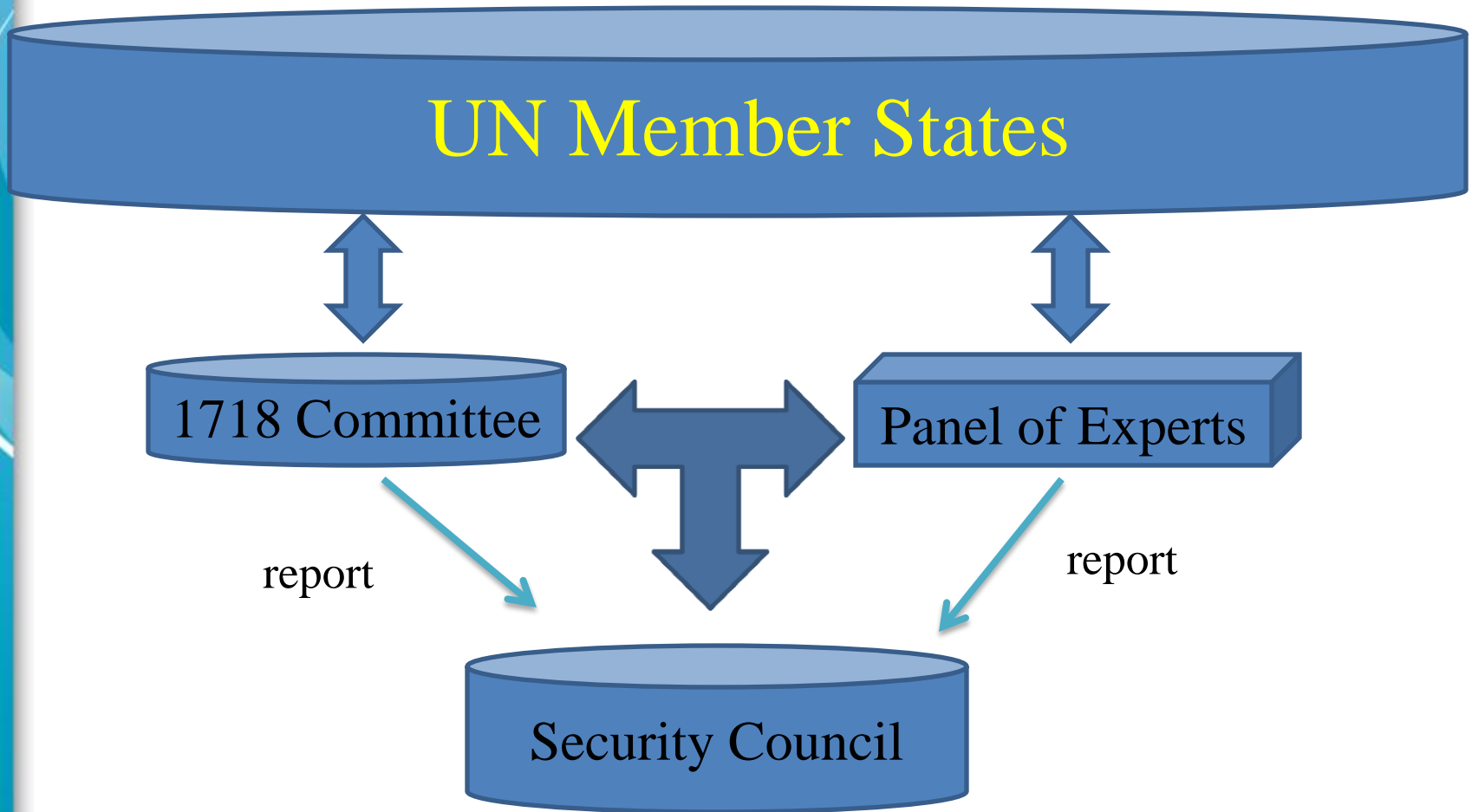
December 2012 DPRK satellite launch

January 2013 **UNSCR 2087 (2013)**

- » Strengthened sanctions regime, including broader financial sanctions and
- » Designated additional individuals, entities and items to be subjected to sanctions.
- » Clarifies some of the measures stated in resolutions 1718 (2006) and 1874 (2009)
- » Expresses its determination to take significant action in the event of a further DPRK launch or nuclear test.

February 2013 DPRK conducts its third nuclear test

Implementation Framework



Goods designation procedure

Security Council
or
1718 Sanctions
Committee

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- items, materials, goods and technology falling under sanctions
- individuals and entities subject to travel ban and asset freeze

See paragraph 8 (ii) UNSCR 1718 (2006) and 12 (e) and Sections 7 and 8 of the [Guidelines](http://www.un.org/sc/committees/1718/pdf/guidelines_20_jun_07.pdf) of the Committee for the Conduct of its Work at http://www.un.org/sc/committees/1718/pdf/guidelines_20_jun_07.pdf

Overview of types of sanctions under UNSCR 1718, 1874, and 2087

1.

Goods-related Sanctions

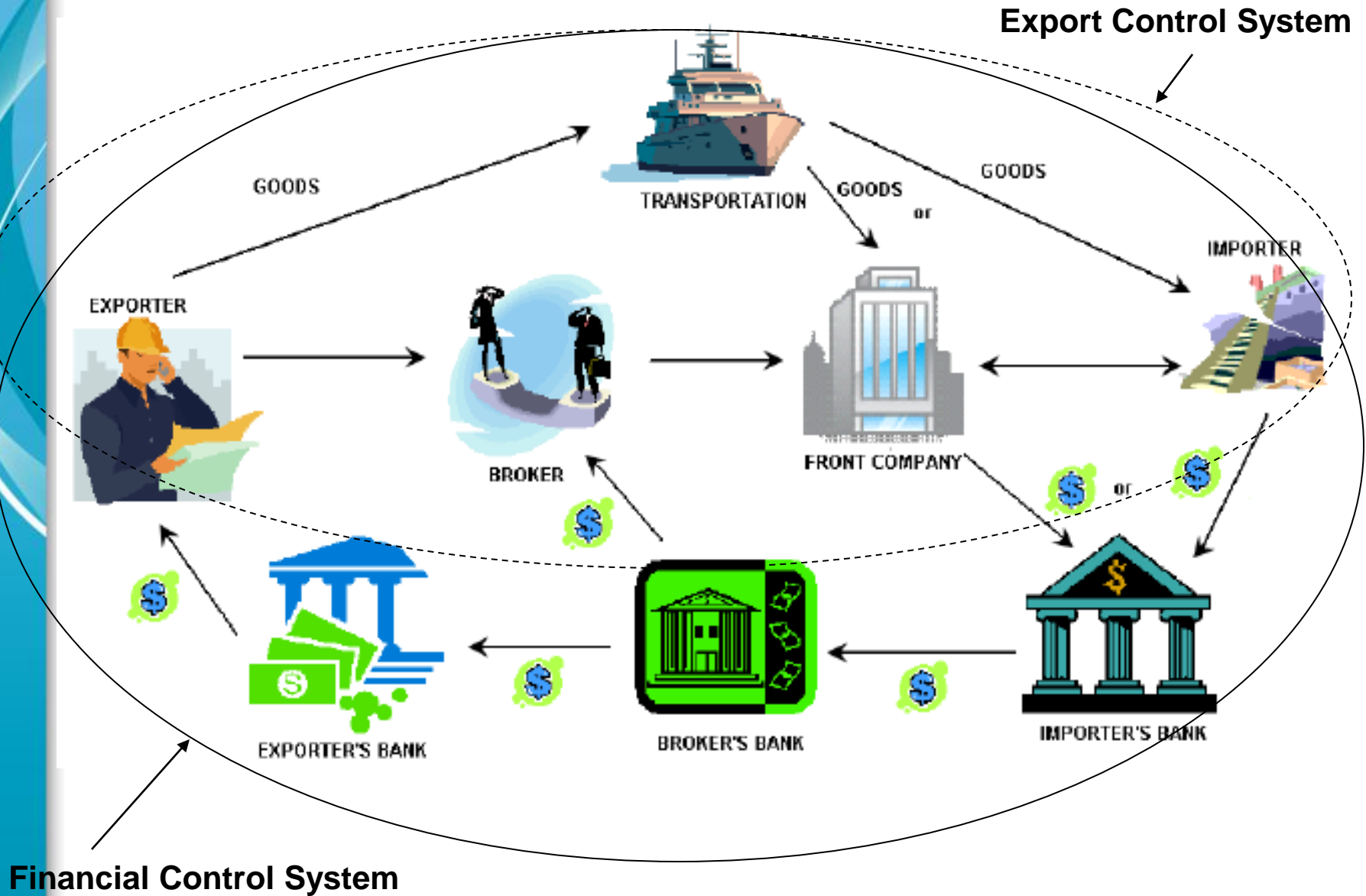


2.

Targeted Sanctions against designated individuals and entities: financial sanctions and travel ban



Overview of Foreign Trade Pattern Abused for Proliferation



Goods-related sanctions

Are prohibited:

- Buying from or selling to DPRK **all arms and related materiel** (*except supply of small arms and light weapons*)
- Buying from or selling to DPRK **listed items that could contribute to DPRK's nuclear-, ballistic missile-, and other weapons of mass destruction-related programmes**
- Supply of **luxury goods** to DPRK



All arms and related materiel



- Resolution 1874 has expanded the scope of the arms embargo with regard to the Democratic People's Republic of Korea to include all arms and related materiel.
- However, it makes an exception for small arms and light weapons and their related materiel as far as their supply to the Democratic People's Republic of Korea is concerned.
- The resolution calls upon Member States to exercise vigilance over the supply of such items to the Democratic People's Republic of Korea and directs that they notify the Committee when they supply such items to the Democratic People's Republic of Korea.

Source: The 2010 final report of the Panel of Experts established pursuant to resolution 1874 (2009) (S/2010/571)

Lists of prohibited items, materials, equipment, goods and technologies related to nuclear, biological, and chemical programmes

- Nuclear-related items
 - INFCIRC/254/Rev.11/Part 1 (12 November 2012)
 - INFCIRC/254/Rev.8/Part 2. (30 June 2010)
- WMD (Chemical and biological programmes) -related items
 - S/2006/853* and S/2006/853/Corr.1 (identical with the Australia Group list as of 13 October 2006)

Additional information about the nuclear-related items

- IAEA confirmed that the DPRK had attempted to procure from a wide range of supply chains material and equipment required for uranium enrichment, such as vacuum pumps, electronic equipment and dual-use, computer numerically controlled, machine tools.
- Independent experts however have indicated the possibility that the DPRK could use materials of lower parameters than those specified by the lists of designations in the resolutions for its nuclear programmes, though at an operational cost.²³

(Source: Report of the Panel of Experts established pursuant to resolution 1874 (2009) [S/2012/422])

Lists of prohibited items, materials, equipment, goods and technologies related to ballistic missile programmes

- Ballistic missile-related items
 - *S/2012/947*, or "*Letter dated 13 December 2012 from the Permanent Representative of the United States of America to the United Nations addressed to the President of the Security Council*"
(available at: http://www.un.org/ga/search/view_doc.asp?symbol=S/2012/947),
plus
 - Graphite designed or specified for use in Electrical Discharge Machining (EDM) machines; and
 - Para-aramid fibre (Kevlar and other Kevlar-like), filament and tape.

Individuals designated by the 1718 Committee and Security Council

1. Yun Ho-jin
2. Ri Je-son
3. Hwang Sok-hwa
4. Ri Hong-sop
5. Han Yu-ro
6. Paek Chang-ho
7. Chang Myong-chin
8. Ra Ky'ong-su
9. Kim Kwang-il




- Asset freeze
- Prevention of provision of financial services and transfers
- Travel ban

Entities designated by the 1718 Committee and Security Council

1. Korea Mining Development Trading Corp.
2. Korea Ryonbong General Corp.
3. Tanchon Commercial Bank
4. Namchongang Trading Corp.
5. Hong Kong Electronics
6. Korea Hyoksin Trading Corp.
7. General Bureau of Atomic Energy (GBAE)
8. Korean Tangun Trading Corp.
9. Amroggang Development Banking Corp.
10. Green Pine Associated Corp.
11. Korea Heungjin Trading Corp.
12. Korean Committee for Space Technology
13. Bank of East Land
14. Korea Kumryong Trading Corporation
15. Tosong Technology Trading Corporation
16. Korea Ryonha Machinery Joint Venture Corporation
17. Leader (Hong Kong) International



- **Asset freeze**
- **Prevention of provision of financial services and transfers**



Additional notes on several paragraphs of UNSCR 2087 (2013)

Paragraph 10

- In paragraph 10, the Security Council calls upon Member States which have not yet done so to report on the measures they have taken to implement the provisions of resolutions 1718 (2006) and 1874 (2009), and encourages other Member States to submit, if any, additional information on implementing the provisions of resolutions 1718 (2006) and 1874 (2009).
- ✓ Please submit national reports pursuant to resolutions 1718 (2006) and 1874 (2009) if you have not done so yet!!

Paragraph 6

- *Recalls* paragraph 18 of resolution 1874 (2009), and *calls upon* Member States to exercise enhanced vigilance in this regard, including monitoring the activities of their nationals, persons in their territories, financial institutions, and other entities organized under their laws (including branches abroad) with or on behalf of financial institutions in the DPRK, or of those that act on behalf or at the direction of DPRK financial institutions, including their branches, representatives, agents and subsidiaries abroad (*emphasis added*).
- **Paragraph 18 of resolution 1874 (2009)**. *Calls upon* Member States...to prevent the provision of financial services or the transfer to, through, or from their territory, or to or by their nationals or entities organized under their laws (including branches abroad), or persons or financial institutions in their territory, of any financial or other assets or resources that could contribute to the DPRK's nuclear-related, ballistic missile-related, or other weapons of mass destruction-related programs or activities... (*emphasis added*).

Paragraph 8

- *Recalls* paragraph 14 of resolution 1874 (2009), *recalls* further that States may seize and dispose of items consistent with the provisions of resolutions 1718 (2006), 1874 (2009) and this resolution, and further *clarifies* that methods for States to dispose include, but are not limited to, destruction, rendering inoperable, storage or transferring to another State other than the originating or destination States for disposal (*emphasis added*).
- **Paragraph 14 of resolution 1874 (2009)**. *Decides* to authorize all Member States to, and that all Member States shall, seize and dispose of items the supply, sale, transfer, or export of which is prohibited by resolution 1718 and 1874. this paragraph *decides* further that all States shall cooperate in such efforts.

Paragraph 9

- *Clarifies* that the measures imposed in resolutions 1718 (2006) and 1874 (2009) prohibit the transfer of any items if a State relevant to a transaction has information that provides reasonable grounds to believe that a designated individual or entity is the originator, intended recipient or facilitator of the item's transfer (*emphasis added*).
- ✓ Transfer of “any items” involving the designated individual or entity is prohibited, including those brokered by such individual or entity.

Paragraph 12

- *Deplores* the violations of the measures imposed in resolution 1718 (2006) and 1874 (2009), including the use of bulk cash to evade sanctions, *underscores* its concern over the supply, sale or transfer to or from the DPRK or through States' territories of any item that could contribute to activities prohibited by resolutions 1718 (2006) or 1874 (2009) and the importance of appropriate action by States in this regard, *calls on* States to exercise vigilance and restraint regarding the entry into or transit through their territories of individuals working on behalf or at the direction of a designated individual or entity, *directs* the Committee to review reported violations and take action as appropriate, including through designating entities and individuals that have assisted the evasion of sanctions or in violating the provisions of resolutions 1718 (2006) and 1874 (2009) (*emphasis added*).
- ✓ Use of bulk cash to evade sanctions is prohibited clearly.
- ✓ Exercise vigilance and restraint relating to travel by individuals working on behalf of or at the direction of designated individual or entity.
- ✓ Entities and individuals that have assisted the evasion of sanctions or in violating the provisions of resolutions can become the subject of designation.

Paragraph 13

- *Emphasizes* the importance of all States, including the DPRK, taking the necessary measures to ensure that no claim shall lie at the instance of the DPRK, or of any person or entity in the DPRK, or of persons or entities designated pursuant to resolutions 1718 (2006) and 1874 (2009), or any person claiming through or for the benefit of any such person or entity, in connection with any contract or other transaction where its performance was prevented by reason of the measures imposed by resolutions 1718 (2006) and 1874 (2009) (*emphasis added*).

Paragraphs 17 and 18

- *17. Reemphasizes* that all Member States should comply with the provisions of paragraphs 8 (a) (iii) and 8(d) of resolution 1718 (2006) without prejudice to the activities of the diplomatic missions in the DPRK pursuant to the Vienna Convention on Diplomatic Relations.
- *18. Underlines* that measures imposed by resolutions 1718 (2006) and 1874 (2009) are not intended to have adverse humanitarian consequences for the civilian population of the DPRK;

Useful Links

- DPRK Sanctions Committee webpage: www.un.org/sc/committees/1718/
- [UN Security Council Resolution 1718 \(2006\)](#)
- [UN Security Council Resolution 1874 \(2009\)](#)
- [UN Security Council Resolution 2087 \(2013\)](#)
- [Report of the Panel of Experts \(2012\)](#)
- [Report of the Panel of Experts \(2010\)](#)
- [FATF Guidance Document: *The Implementation of Financial Provisions of UN SCR to Counter the Proliferation of WMD \(29 June 2007\)*](#)
- Iran Sanctions Committee web page: www.un.org/sc/committees/1737/